



<b>INFORMATION DISCLOSURE STATEMENT PTO-1449</b>	Atty. Docket No.: 000104	Serial No.: 09/486,341
	Applicants: Hiroshi YAMAZAKI et al.	
	Filing Date: February 25, 2000	Group Art Unit: 2766

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					

### FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)		
<u>FB</u>	AC	WO 94/20912	9/15/94	PCT	yes
_____	AD				

### OTHER DOCUMENTS

<u>FB</u>	AE	"Derivative Software Simplifies Swaps" - <u>Insurance Account</u> , Vol. 4, No.15 (Apr. 18, 1994) pp. 4.
_____	AF	S. Schwartzman - "One Standard for One-Off Deals - Sanwa Bank Ltd. Uses Custom Software for Security Trading" - <u>Wall Street &amp; Technology</u> , Vol. 11, No. 1 (July 1993) pp. 10.
_____	AG	T. Eggenschwiler - "ET++SwapsManager: Using Object Technology in the Financial Engineering Domain" - <u>ACM Sigplan Notices</u> , Vol. 27, No. 10 (Oct. 1992) pp 166-177.
_____	AH	Translated by S. Hon'ida - "Stratergy" - <u>Tokyo: Softbank Corp.</u> (Oct. 16, 1995).
_____	AI	Zhang et al. - "Financial Software Design Pattern" - <u>Journal of Object-Oriented Programming</u> , Vol. 8, No. 9 (Feb. 96), pp. 6-12.
_____	AJ	M. Benaroch - "Toward the Notion of a Knowledge Repository for Financial Risk Management" - <u>IEEE Transactions on Knowledge and Data Engineering</u> , Vol. 9, No. 1 (Jan-Feb. 1997) pp. 161-167.
Examiner	Date Considered	

1/29/93